Rev. 10/95 TRANSMITTAL FORM filed application)

261H72211

PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce 09/333,724 **Application Number** Patent and Trademark Office June 15, 1999 Filing Date First Named Inventor Bryan C. Gebhardt (to be used for all correspondence during pendency of Group Art Unit Number **Examiner Name** Not Yet Known Attorney Docket Number 19502-03550 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Assignment & Recordation Cover Sheet Interferences Declaration Power of Attorney Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) **Application Data Sheet** Information Disclosure Statement & PTO-1449 Certified Copy of Priority Document(s) After Allowance Communication to Group Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: "Total Number of Pages in this Submission" does not include cited reference. SIGNATURE OF ATTORNEY OR AGENT Signature: Amir H. Raubvogel, Reg. No. 37,070 Dated: Attorney/Reg. No.: **CERTIFICATE OF MAILING** I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Amir H. Raubvogel

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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S):

CATENTE CE VED TO THE LEGISLATION OF THE PROPERTY OF THE PROPE Bryan C. Gebhardt, Kalpesh R. Patel, Allan C. Thygesen, Steve

Berriatua and Christopher J. Michel

APPLICATION NO.:

09/333,724

FILING DATE:

June 15, 1999

TITLE:

Automatic Control Of Broadcast And Execution Of Interactive

Applications To Maintain Synchronous Operation With Broadcast

Programs

EXAMINER:

Not yet known

GROUP ART UNIT:

2711

ATTY. DKT. NO.:

19502-03550

CERTIFICATE OF MAILING

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Amir H. Raubvogel, Reg. No.: 37,070

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

	applion refere	nis application relies, under 35 U.S.C. § 120, on the earlier filing date of prior oplication Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the ferences cited therein are hereby referenced, but are not required to be provided this application under 37 CFR § 1.98(d).						
Γhe In			osure Statement submitted herewith is being filed:					
		within three months of the filing date of the application, or date into the national stage of an international application, or before date of a first official action on the merits, whichever event last						
			ne mailing of a first official action after the filing of a request for examination (RCE) under 37 CFR § 1.114;	r				
		of entry	ee months of the filing date of this national application or the date of the national stage in an international application, or after the date of the first official action on the merits, whichever event last, but before the mailing date of the first to occur of either: a final action under 37 CFR §1.113; OR a notice of allowance under 37 CFR §1.311; AND attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR Applicant certifies pursuant to 37 CFR § 1.97(e) that: ———————————————————————————————————	st ent n a				
			no item of information contained in this Information Disclosure Statement was cited in a communication from foreign patent office in a counterpart foreign application to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.	or,				
		OR	·					

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	before the payment of the issue fee but after the mailing date of the first to					
		occur of either:				
		[1]	under 37 CFR § 1.113; OR			
		[2]	a notice of allowance under 37 CFR § 1.311; AND			
			in accordance with the requirements of 37 CFR § 1.97(d):			
			Applicant certifies pursuant to 37 CFR. § 1.97(e) that:			
			each i	tem of information contained in this Information		
			Disclo	osure Statement was cited in a communication from a		
			foreig	n patent office in a counterpart foreign application not		
			more o	than three months prior to the filing of this Statement;		
				em of information contained in this Information losure Statement was cited in a communication from a		
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foreign patent office in a counterpart foreign applicat						
	to the knowledge of the person signing this certification					
	after making reasonable inquiry, was known to any					
			indivi	dual designated under 37 CFR § 1.56(c) more than		
			three months prior to the filing of this Statement; AND			
			Applicant hereby respectfully petitions for the consideration of			
			the accompan	aying Information Disclosure Statement under 37 CFR		
			§ 1.97(d)(2);			
			Applicant sub	omits the petition fee of \$180 as set forth in 37 CFR §		
			1.17(p).			
\boxtimes				e is required for the consideration of the		
accompanying	Inform	ation D	oisclosure State	ement.		
Consid	leration	of the l	isted reference	es and favorable action are solicited.		
				Description of the submitted		
				Respectfully submitted, BRYAN C. GEBHARDT, ET AL.		
				,		
Dated:	UL 5	/01		By: 24.7		
	/			Amir H. Raubvogel, Reg. No.: 37,070		
				Fenwick & West LLP		
				Two Palo Alto Square Palo Alto, CA 94306		
				Tel.: (650) 858-7276		
				Fax.: (650) 494-1417		

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FORM PTO-1 (REV. 6-89)	449		6. DEPARTMENT C		Attorney's Docket No. 19502-03	Serial No. 09/333,724			
INFORMATION DISCLOSURE CITATION					Applicant Bryan C. Gebhardt, et al.				
_		(Use several sheets if nece	essary)		Filing Date June 15, 1999 Group Art Unit 2711			2711	
			U.S. PA	TENT DOC	UMENTS				
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EXAMINER EXAMINER: Initia	al if refere	ences considered, whether or no	ot citation is in confe		CONSIDERED P § 609; Draw line throug	h citation if not in o	conformance a	nd not consi	dered.

PTO-1449 REV: 02/01

Include copy of this form with next communication to applicant.